



PATENT APPLICATION #7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1764  
2-19-02

In re application of

Ermanno FILIPPI, et al.

Attorney Docket Q64333

Appln. No. 09/852,014

Group Art Unit: 1764

Confirmation No.: 3989

Examiner: Not yet assigned

Filed: May 10, 2001

RECEIVED

FEB 13 2002

TC 1700

For: REACTOR FOR EXOTHERMIC OR ENDOTHERMIC HETEROGENEOUS  
REACTIONS

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of a Communication from a Foreign Patent Office in a counterpart application citing such documents, together with an

Substitute for form 1449 A &amp; B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	09/852,014
Confirmation Number	3989
Filing Date	May 10, 2001
First Named Inventor	Ermanno FILIPPI
Art Unit	1764
Examiner Name	Not yet assigned
Attorney Docket Number	Q64333

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 3,958,629		5/25/1976	Andersson
		US 4,732,918		5/22/1988	Lohmueller et al.
		US 3,982,901		09/28/1976	Steever et al.
		US 3,666,423		05/30/1972	Muenger
		US 4,594,227		06/10/1986	Ohsaki et al.
		US 2,898,383		08/04/1959	Eisenlohler et al.
		US 5,564,370		10/15/1996	Giraud et al.

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		DE	197 23 977	A1	12/10/1998	Vinnolit Kunststoff GmbH	No
		EP	0 995 491	A1	04/26/2000	DEG Engineering GmbH	No

RECEIVED  
FEB 13 2002  
TC 1700

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT

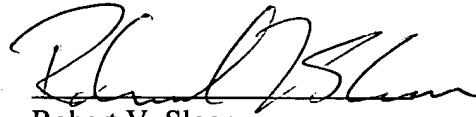
U.S. Appln. No. 09/852,014

Attorney Docket Q64333

English-language version of that portion of the Communication indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert V. Sloan", written over a horizontal line.

Robert V. Sloan

Registration No. 22,775

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Date: February 12, 2002